

First K's

Now B's

Next  $\nu$ 's

# CP Violation in the Neutrino Sector

Stephen Parke – FermiLab



- What CP violation?
- Why?
- Summary of Nu Osc Data
  - $\nu_\mu \rightarrow \nu_e$
  - $\theta_{13} + \dots$
- Experiments:
- Summary and Conclusions:

Mexican DPF, Colima

# Leptonic CP and T Violation in Oscillations

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$$\begin{array}{ccccc} & \text{CP} & & & \\ \nu_\mu \leftrightarrow \nu_e & \iff & \bar{\nu}_\mu \leftrightarrow \bar{\nu}_e & & \text{Super-Beams} \\ \text{T} & \Updownarrow & & \Updownarrow & \text{T} \\ \nu_e \leftrightarrow \nu_\mu & \iff & \bar{\nu}_e \leftrightarrow \bar{\nu}_\mu & & \text{Nu-Factory} \\ & \text{CP} & & & \end{array}$$

CP Violation in Neutrino Oscillations  
is related to Leptogenesis  
and hence Baryogenesis.

# Neutrino Mixing Matrix:

Like the Quark Sector:

The Neutrino Mass Eigenstates,  $|\nu_i\rangle$ , are a Mixture of Flavor States,  $|\nu_\alpha\rangle$ :

$$|\nu_\alpha\rangle = U_{\alpha i} |\nu_i\rangle. \quad (\text{using } s_{ij} = \sin \theta_{ij} \text{ and } c_{ij} = \cos \theta_{ij})$$

$$U_{\alpha i} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{13} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix}$$

Atmos. L/E  $\mu \rightarrow \tau$

Atmos. L/E  $\mu \leftrightarrow e$

Solar L/E  $e \rightarrow \mu, \tau$

$$= \begin{pmatrix} c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{13}s_{23}c_{12}e^{i\delta} & c_{23}c_{12} - s_{13}s_{23}s_{12}e^{i\delta} & c_{13}s_{23} \\ s_{23}s_{12} - s_{13}c_{23}c_{12}e^{i\delta} & -s_{23}c_{12} - s_{13}c_{23}s_{12}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

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For Majorana Nu's

$$U \rightarrow U \begin{pmatrix} 1 & & \\ & e^{i\alpha_2} & \\ & & e^{i\alpha_3} \end{pmatrix}$$

Phases  $\alpha_2, \alpha_3$  are unobservable in oscillation phenomena,  $(U_{\alpha i} U_{\beta i}^*)$ .  
Important in neutrinoless double beta decay.

# CP Violation and Leptogenesis

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- For most Neutrino Mass Models there is a relationship between the Dirac CP phase  $\delta$  and Majorana CP phases  $\alpha_2, \alpha_3$ .
- At a minimum they are all zero or all non-zero.
- $\alpha_2, \alpha_3$  are responsible for Leptogenesis in the early universe by allowing for different decay rates of Neutral Heavy Leptons:

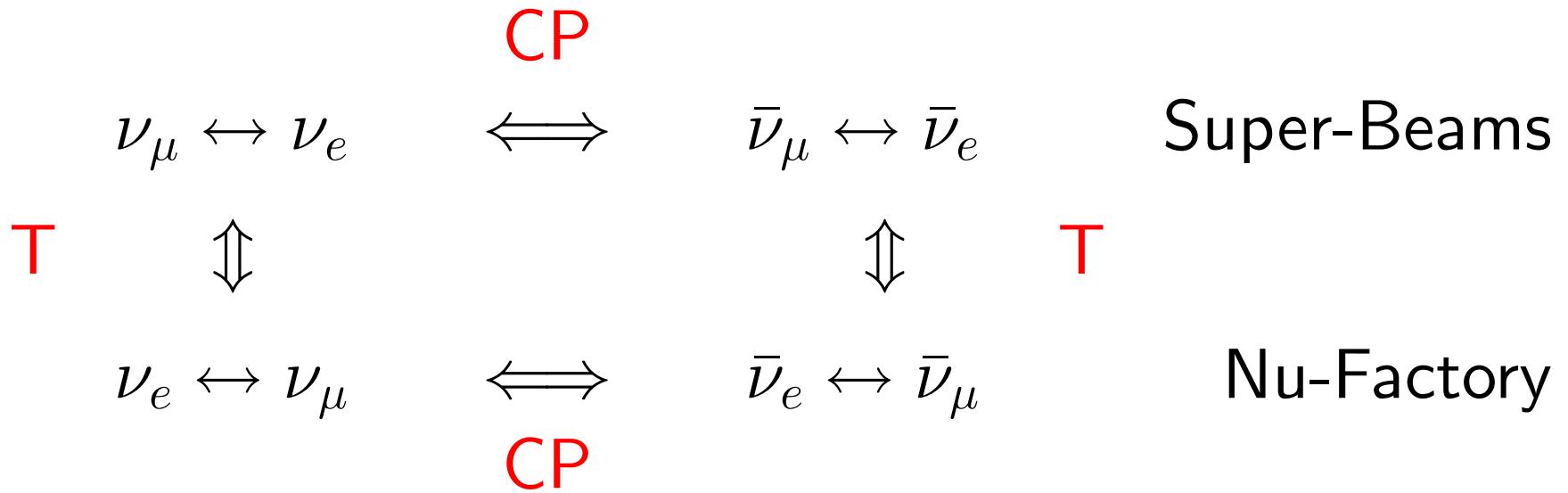
$$N \rightarrow l^+ \phi^- \text{ and } N \rightarrow l^- \phi^+$$

- $B = \frac{1}{2}(B - L) + \frac{1}{2}(B + L)$ , however  $(B + L)$  violated.
- Hence the Dirac CP violating phase,  $\delta$ , is a handle on Leptogenesis and hence Baryogenesis.

Fukugita and Yanagida, Phys. Lett. B174, 45 (1986)  
Frampton, Glashow and Yanagida – hep-ph/0208157  
Endoh, Kaneko, Kang, Morozumi – hep-ph/0209098

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# Leptonic CP and T Violation in Oscillations

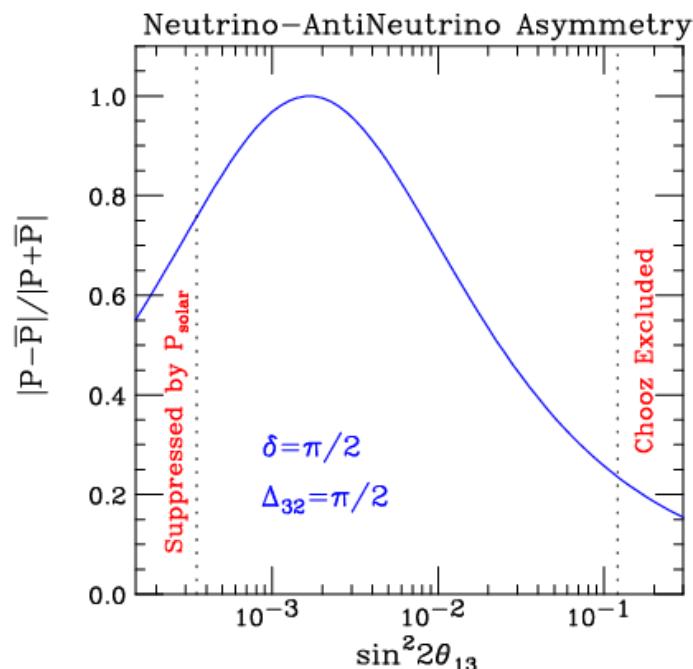


$$P_{\nu_\mu \rightarrow \nu_e} = | a_{\mu \rightarrow e}^{atm} + a_{\mu \rightarrow e}^{sol} |^2$$

CP Violation comes from the Difference in the Interference of  $a_{\mu \rightarrow e}^{atm}$  and  $a_{\mu \rightarrow e}^{sol}$  for neutrinos verses anti-neutrinos.

CAN BE LARGE!!!.

Important parameters are  $\theta_{13}$  and  $\delta$ .



# Mixings and Masses Overview:

## (12)-Sector: SNO, KamLAND, SK

$$\delta m_{21}^2 = +7.1 \pm 2.0 \times 10^{-5} \text{ eV}^2$$

$$0.23 < \sin^2 \theta_{12} < 0.35$$

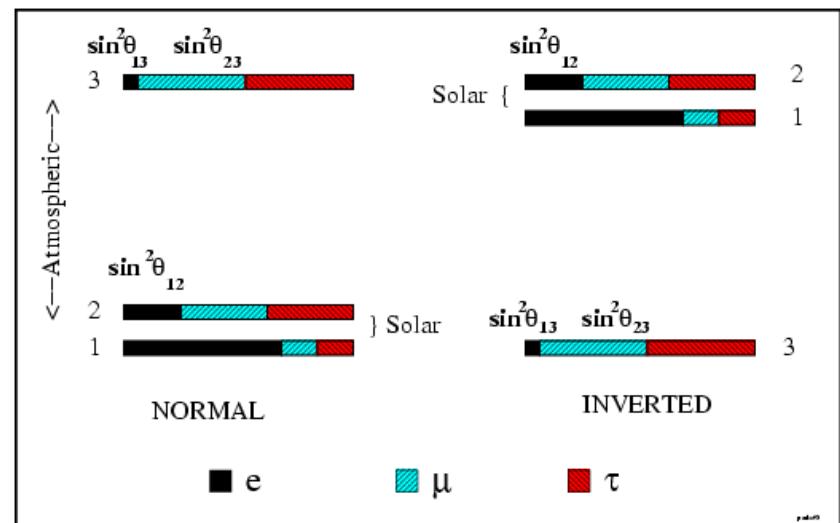
$\sin^2 \theta_{12} \geq \frac{1}{2}$  excluded at  $> 5 \sigma$ !

Sign of  $\delta m_{21}^2$  determined at this C.L.

Due to matter effects

the  ${}^8\text{B}$  solar neutrinos exit the sun as  $\nu_2$ .

Thus SNO's  $\frac{CC}{NC} = \sin^2 \theta_{12}$



Consistency between SNO and  
KamLAND will be an important test of  
Neutrino Oscillations and Matter  
Effects.

# Mixings and Masses Overview:

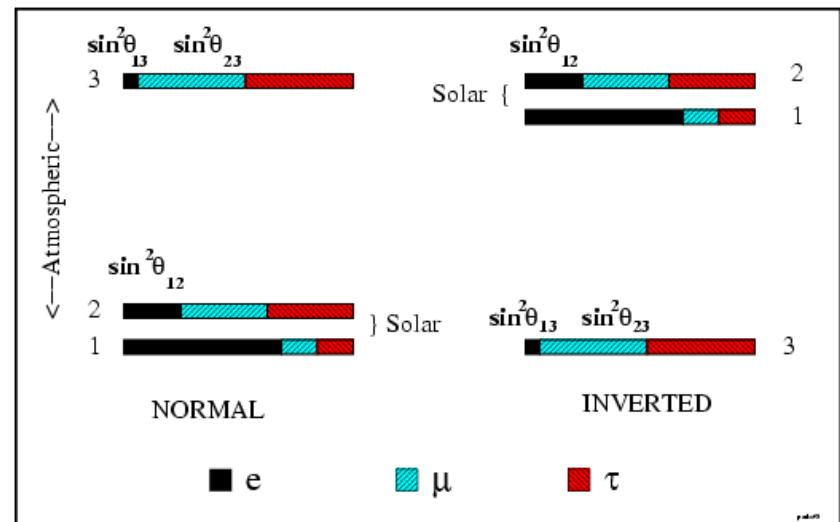
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Sign of  $\delta m_{21}^2$  determined at this C.L.



## (23)-Sector: SK, K2K

$$|\delta m_{32}^2| = 1.5 - 3.5 \times 10^{-3} \text{ eV}^2$$

$$0.35 < \sin^2 \theta_{23} < 0.65$$

(obtained from  $\sin^2 2\theta_{23} > 0.91$ )

Magnitude of  $\delta m_{32}^2$  and  $\sin^2 \theta_{23}$  both poorly known!

Sign of  $\delta m_{32}^2$  Unknown !!!

$\Rightarrow$  MINOS  $|\delta m_{32}^2| \spadesuit$   
also tests  $\nu$ -Oscillations.

# Mixings and Masses Overview:

## (12) Parameters: SNO, KamLAND, SK

$$\delta m_{21}^2 = +7.1 \pm 2.0 \times 10^{-5} \text{ eV}^2$$

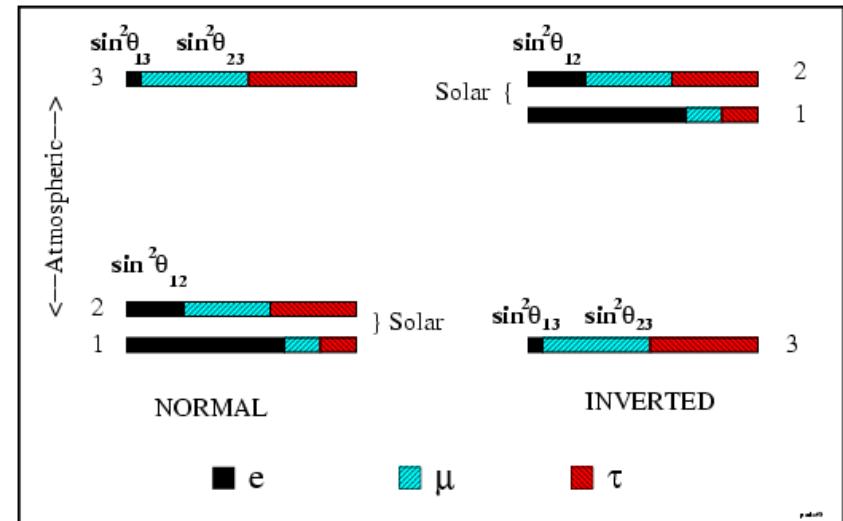
$$0.23 < \sin^2 \theta_{12} < 0.35$$

$\sin^2 \theta_{12} \geq \frac{1}{2}$  excluded at  $> 5 \sigma$ !

sign of  $\delta m_{21}^2$  determined at this C.L.

${}^8\text{B}$  solar neutrinos exit the sun as  $\nu_2$ .

Thus SNO's  $\frac{CC}{NC} = \sin^2 \theta_{12}$



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(obtained from  $\sin^2 2\theta_{23} > 0.91$ )

Magnitude of  $\delta m_{32}^2$  and  $\sin^2 \theta_{23}$  both poorly known!      ♠ MINOS  $|\delta m_{32}^2|$  ♠

Sign of  $\delta m_{32}^2$  Unknown !!!

## (13) Parameters: Chooz, SK, K2K

$$\sin^2 \theta_{13} < 0.03 - 0.05$$

limit  $|\delta m_{32}^2|$  dependent

$$0 \leq \delta_{CP} < 2\pi$$

Unknown!

## Oscillation Primer: 2 flavors

amplitude for  $\nu_\mu \rightarrow \nu_e = U_{\mu 1}^* U_{e 1} e^{-i E_1 t} + U_{\mu 2}^* U_{e 2} e^{-i E_2 t}$

$$E_j = \sqrt{p^2 + m_j^2} \approx p + \frac{m_j^2}{2p} + \dots \quad E_j t \approx pt + \frac{m_j^2 L}{2E}$$

For 2 components:

$$U_{\alpha j} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$P_{\mu \rightarrow e} = \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

Oscillation Length,  $L = \frac{4E}{\delta m^2} \pi$ , where  $\delta m^2 = m_j^2 - m_i^2$ .

What happens as  $\hbar \rightarrow 0 ???$

Does Osc. Length go to ZERO or INFINITY ???

## Oscillation Primer: 2 flavors

amplitude for  $\nu_\mu \rightarrow \nu_e = \sum_j U_{\mu j}^* U_{ej} e^{-iE_j t/\hbar}$

$$E_j = \sqrt{p^2 + m_j^2} \approx p + \frac{m_j^2}{2p} + \dots \quad \frac{E_j t}{\hbar} \approx \frac{pt}{\hbar} + \frac{m_j^2 L}{2\hbar E}$$

For 2 components:

$$P_{\mu \rightarrow e} = \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4\hbar E} \quad (= \sin^2 2\theta \sin^2 1.27 \frac{\delta m^2 L}{E} )$$

Oscillation Length,  $L = \frac{4\hbar E}{\delta m^2} \pi$ , where  $\delta m^2 = m_j^2 - m_i^2$ .

What happens as  $\hbar \rightarrow 0$  ???

Osc. Length goes to ZERO !!!

$L \rightarrow 0$  same as if  $\delta m^2 \rightarrow LARGE!!!$  (- quarks -)

Nu Oscillations are a Macroscopic Quantum Phenomena.

## Oscillation Primer: 2 flavors

amplitude for  $\nu_\mu \rightarrow \nu_e = \sum_j U_{\mu j}^* U_{ej} e^{-iE_j t/\hbar}$

$$E_j = \sqrt{p^2 c^2 + m_j^2 c^4} \approx pc + \frac{m_j^2 c^4}{2pc} \quad \frac{E_j t}{\hbar} \approx \frac{pct}{\hbar} + \frac{m_j^2 c^4 L}{2\hbar c E}$$

For 2 components:

$$P_{\mu \rightarrow e} = \sin^2 2\theta \sin^2 \frac{\delta m^2 c^4 L}{4\hbar c E} \quad (= \sin^2 2\theta \sin^2 1.27 \frac{\delta m^2 L}{E})$$

Oscillation Length,  $L = \frac{4\hbar c E}{\delta m^2 c^4} \pi$ , where  $\delta m^2 = m_j^2 - m_i^2$ .

Now

$$\hbar c = 197 \text{ MeV fm} = 0.197 \text{ (ev}^2/\text{GeV) km}$$

$$\frac{1}{4 \times 0.197} = 1.2669 \dots !!!$$

### 3 flavor – $\nu_\mu \rightarrow \nu_e$

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$$P_{\mu \rightarrow e} = \left| \sum_j U_{\mu j}^* U_{e j} e^{-im_j^2 L/2E} \right|^2$$

Estimate  $U_{\mu 1}^* U_{e 1}$   
using unitarity of  $U$ .

Use  $\Delta_{ij} = \delta m_{ij}^2 L / 4E = 1.27 \delta m_{ij}^2 L / E$

$$P_{\mu \rightarrow e} = \left| 2U_{\mu 3}^* U_{e 3} \sin \Delta_{31} e^{-i\Delta_{32}} + 2U_{\mu 2}^* U_{e 2} \sin \Delta_{21} \right|^2$$

Square of **Atmospheric+Solar** amplitude:

$$U_{\mu 3}^* U_{e 3} = s_{23} s_{13} c_{13} e^{\mp i\delta} \text{ for } \nu \text{ and } \bar{\nu}:$$

Approx.  $U_{\mu 2}^* U_{e 2} \approx c_{23} c_{13} s_{12} c_{12} + \mathcal{O}(s_{13})$ :

$$P_{\mu \rightarrow e} \approx \left| 2s_{23} s_{13} c_{13} \sin \Delta_{31} e^{-i(\Delta_{32} \pm \delta)} + 2c_{23} c_{13} s_{12} c_{12} \sin \Delta_{21} \right|^2$$

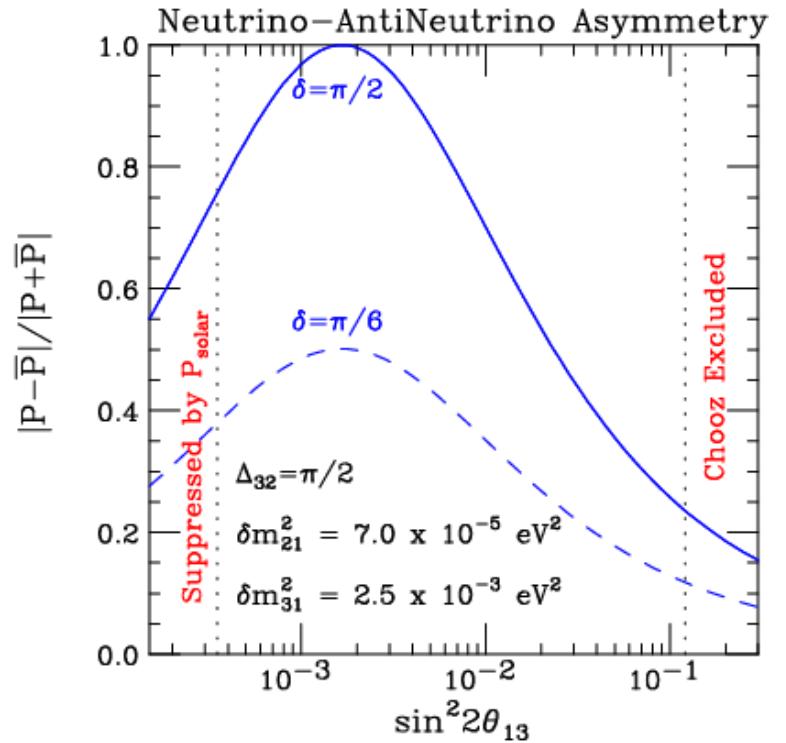
Interference term different for  $\nu$  and  $\bar{\nu}$ : **CP violation !!!**

$$P_{\mu \rightarrow e} \approx \left| 2s_{23}s_{13}c_{13} \sin \Delta_{31} e^{-i(\Delta_{32} \pm \delta)} + 2c_{23}c_{13}s_{12}c_{12} \sin \Delta_{21} \right|^2$$

At the first atmospheric oscillation maximum,  $\Delta_{32} = \frac{\pi}{2}$ , the Neutrino-AntiNeutrino Asymmetry is maximum when

$$|a^{atm}| = |a^{sol}|$$

$$\sin^2 2\theta_{13} \approx \frac{\sin^2 2\theta_{12}}{\tan^2 \theta_{23}} \left[ \frac{\pi}{2} \frac{\delta m_{21}^2}{\delta m_{31}^2} \right]^2$$



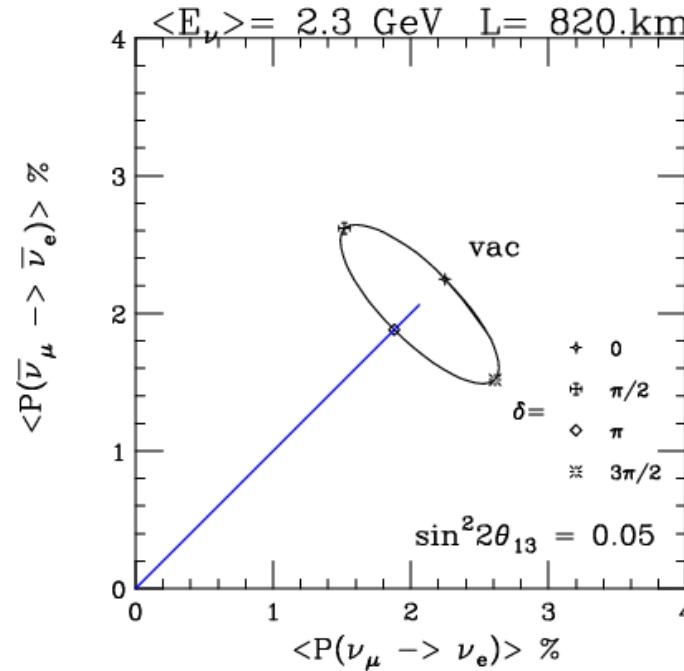
At the second oscillation maximum,  $\Delta_{32} = \frac{3\pi}{2}$ , the peak in the Asymmetry occurs when  $\sin^2 2\theta_{13}$  is 9 times larger. BNL  $\rightarrow$  ???.

Expanding and using

$$J_r = J / \sin \delta = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 :$$

$$\begin{aligned} P_{\mu \rightarrow e} \approx & 4 s_{23}^2 s_{13}^2 c_{13}^2 \sin^2 \Delta_{31} + 4 c_{23}^2 c_{13}^2 s_{12}^2 c_{12}^2 \sin^2 \Delta_{21} \\ & + 8 J_r \sin \Delta_{21} \sin \Delta_{31} \cos \Delta_{32} \cos \delta \\ & \mp 8 J_r \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32} \sin \delta \end{aligned}$$

The last term is the CP violating part of the interference.



Bi-Probability Plots:  
Minakata and Nunokawa  
hep-ph/0108085

## Matter Effects:

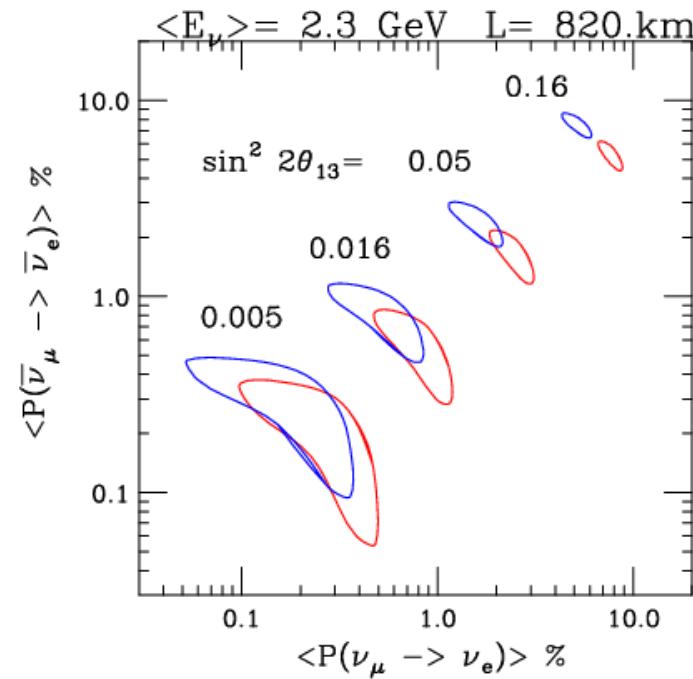
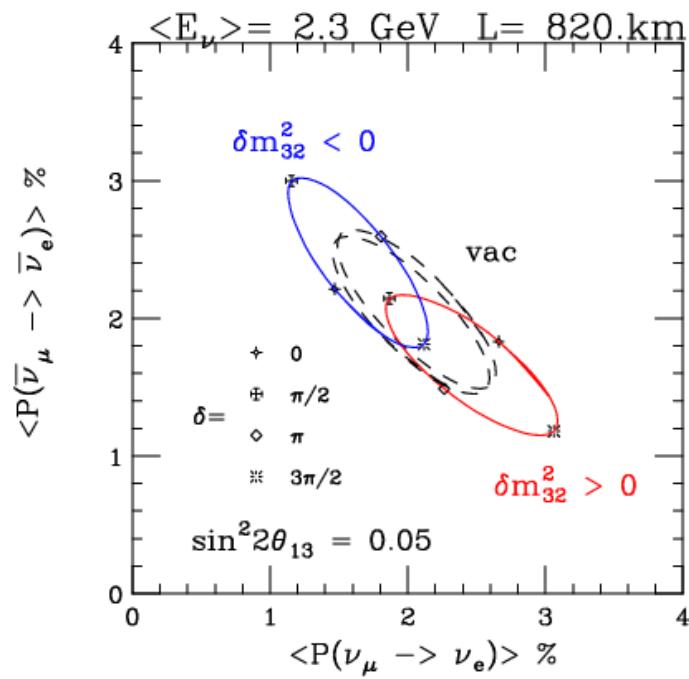
$$\sin \Delta_{31} \Rightarrow \left( \frac{\Delta_{31}}{\Delta_{31} \mp aL} \right) \sin(\Delta_{31} \mp aL) \quad \{ \delta m^2 \sin 2\theta \} \text{ is invariant}$$

$$\text{and}$$

$$\sin \Delta_{21} \Rightarrow \left( \frac{\Delta_{21}}{\Delta_{21} \mp aL} \right) \sin(\Delta_{21} \mp aL) \quad a = G_F N_e / \sqrt{2}$$

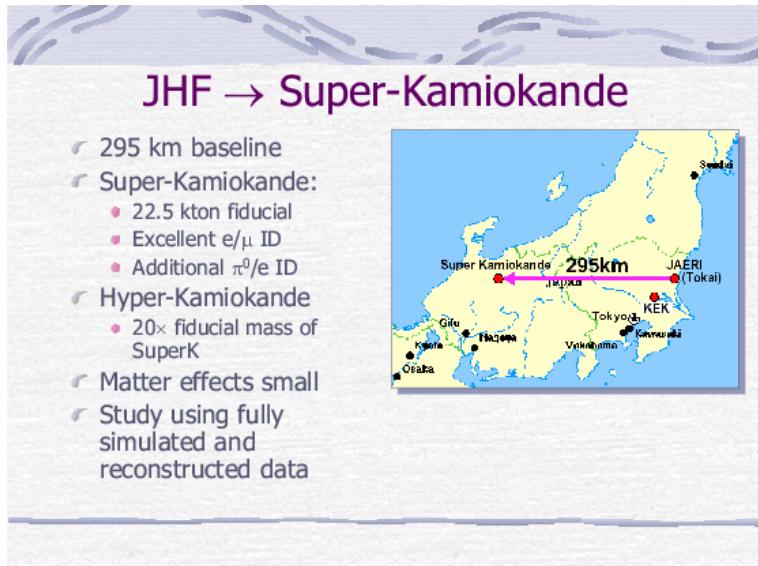
$$\sin \Delta_{32} \Rightarrow \sin \Delta_{32} \quad = (4000 \text{ km})^{-1}$$

Matter effects are **IMPORTANT** when  $\sin(\Delta \mp aL) \neq (\Delta \mp aL)$ .



Matter Effects important for NuMI-OFF-Axis ( 800 km), less so for JParc (295 km).

# Proposed Experiments:



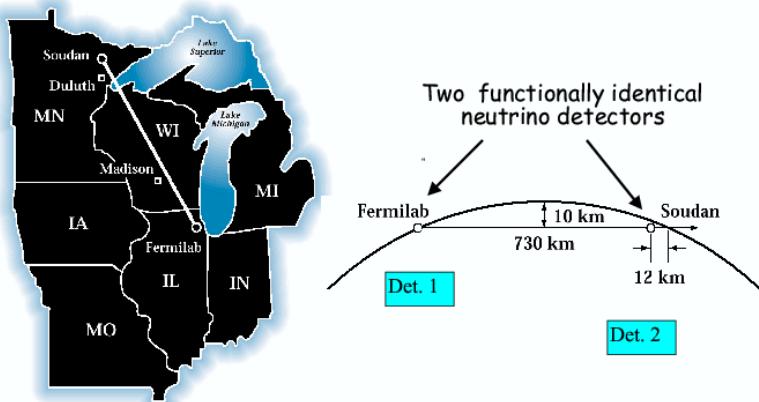
## Narrow Beams - Counting Expts:

L=295 km and

Energy at Vac. Osc. Max. (vom)

$$E_{vom} = 0.6 \text{ GeV} \left\{ \frac{\delta m_{32}^2}{2.5 \times 10^{-3} \text{ eV}^2} \right\}$$

## The NUMI Beamlne



L=700 - 1000 km and

Energy near 2 GeV

$$E_{vom} = 1.8 \text{ GeV} \left\{ \frac{L}{820 \text{ km}} \right\} \times \left\{ \frac{\delta m_{32}^2}{2.5 \times 10^{-3} \text{ eV}^2} \right\}$$

## Off-Axis Neutrino Beams:

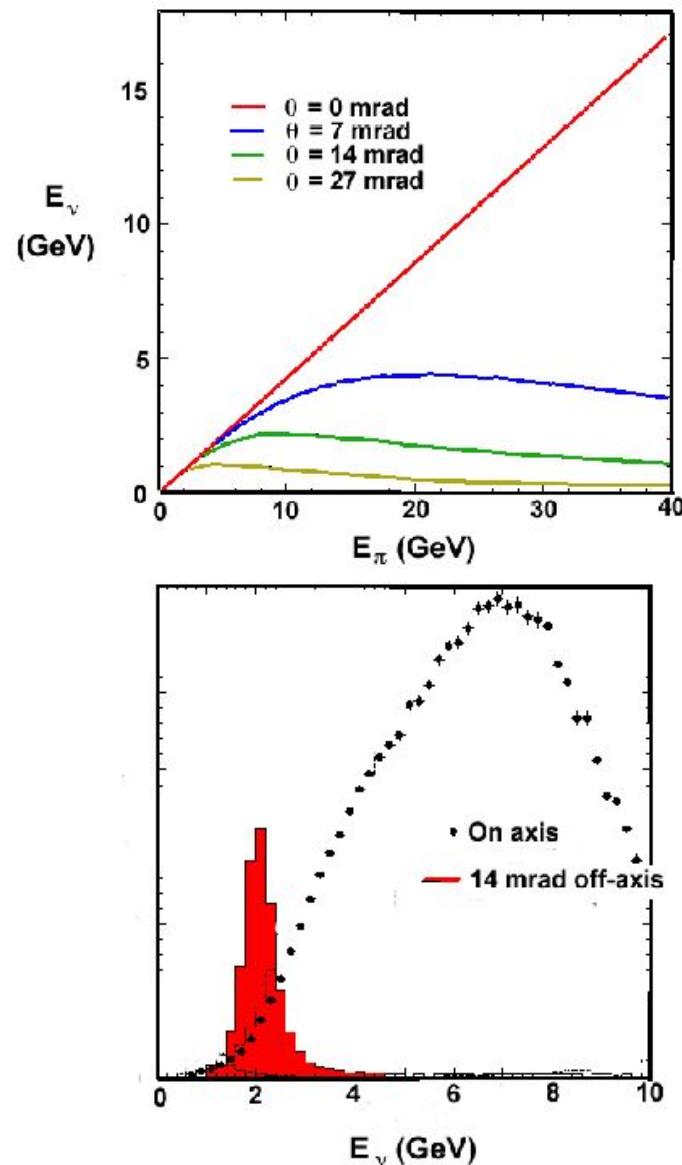
$$E_\nu = \frac{0.43 E_\pi}{(1 + \theta^2 \gamma_\pi^2)}$$

Off-Axis the beams are Narrow!  
approx. gaussian with spread  
 $20\% < E_\nu >$

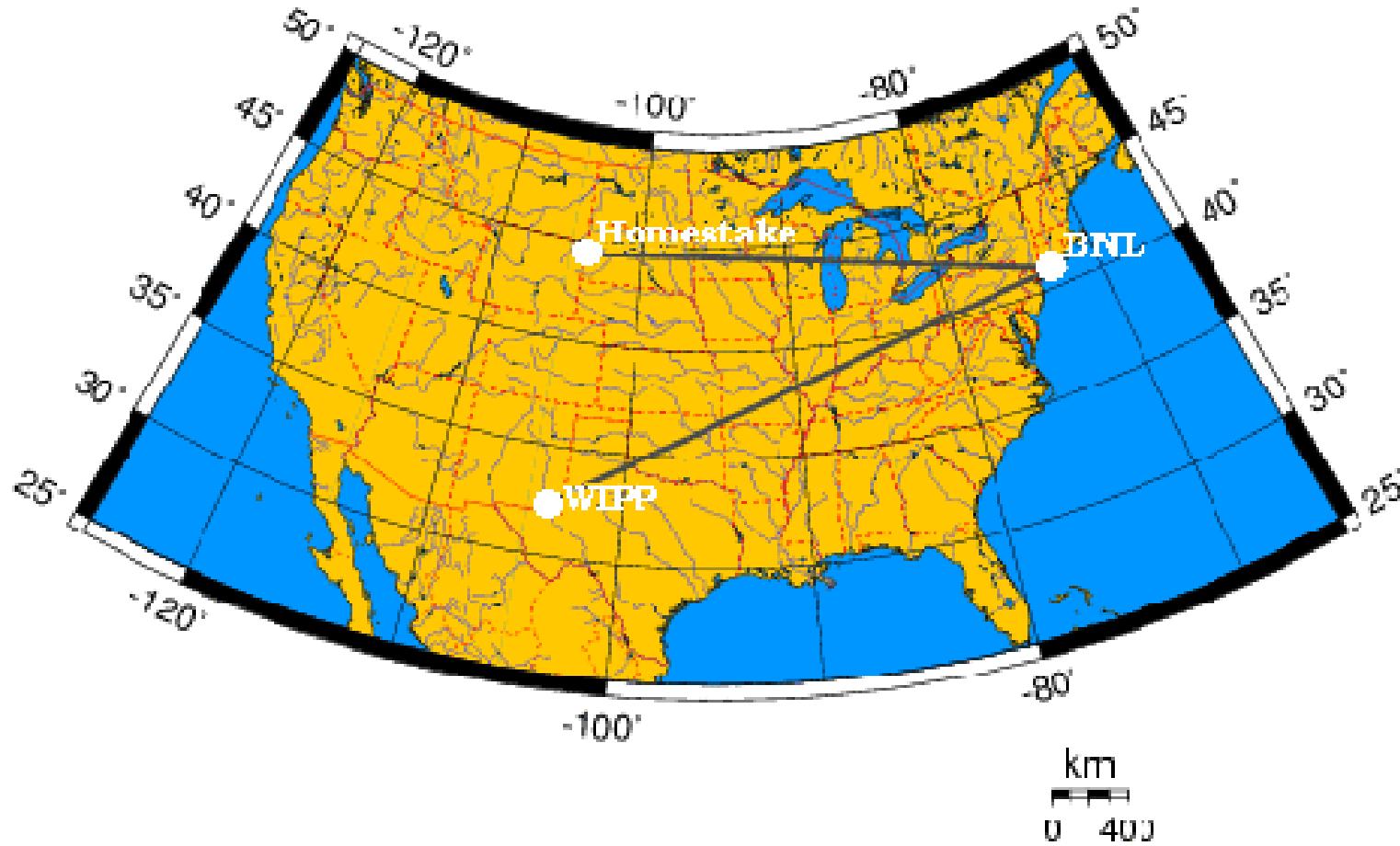
**GREAT !!!**

as the primary bckgrd to  $\nu_e$  detection is  $\pi^0$  coming from higher energy NC events. ( $\nu_e$  contamination in beam is small 0.5% and apprx known.)

BNL-proposal '94



# Broad Beams - Spectral Shape Experiments:



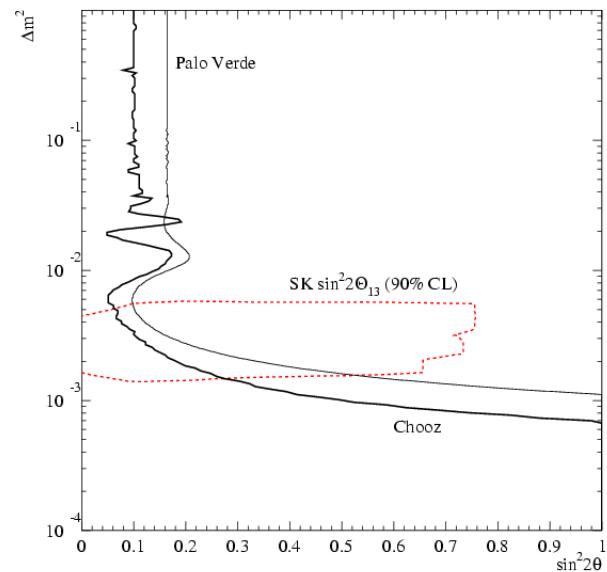
See A. Mann talk:

Questions:

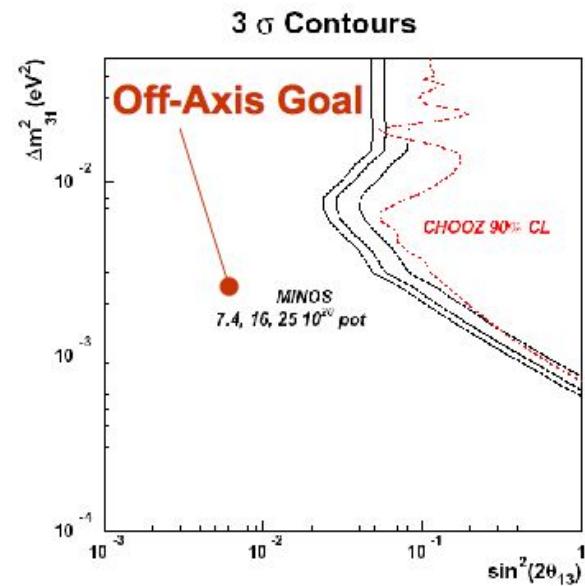
Backgrounds ? and Optimization of E and L?

## Limits on $\theta_{13}$

Chooz and SuperKamiokande:  
Chooz was a  $\bar{\nu}_e$  disappearance experiment using Reactors.  
Energies few MeV.  
K2K.



MINOS:  
Has some sensitivity to  $\nu_e$  above backgrounds.  
Primary goal is to measure  $|\delta m_{32}^2|$  to 10% — **VERY IMPORTANT**.



## New Reactor Experiments:

“Super-Chooz:”

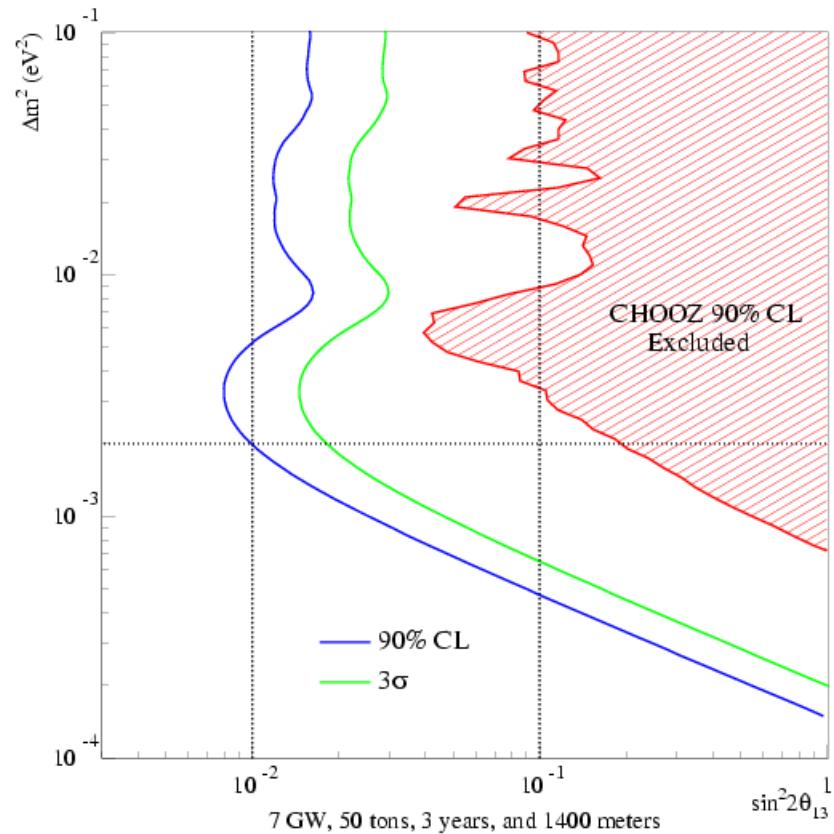
interest in Japan, Europe, Russia  
and USA (CA & IL).

Figure from J. Link, Columbia U.  
Using two detectors with the far  
detector being able to be moved  
to along side the near detector for  
relative calibration.

Systematics Limited experiment.

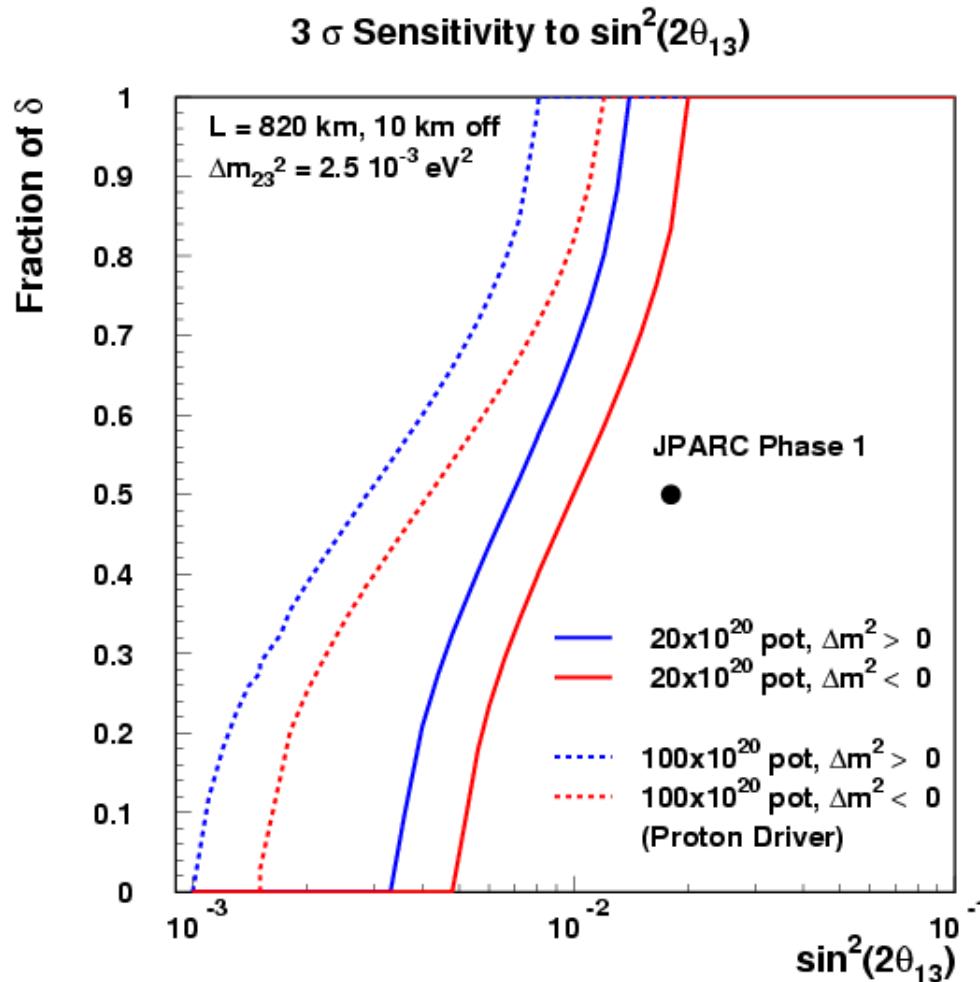
Clean measurement of  $\sin^2 2\theta_{13}$  down to  $\sim 0.01$ .

Could be a “quick” and “cheap” experiment, but ...



## $\theta_{13}$ Off-Axis: NuMI & JParc

$P(\nu_\mu \rightarrow \nu_e)$  depends on  $\theta_{13}$ , sign of  $\delta m_{32}^2$ , CP phase  $\delta$  and  $\theta_{23}$ .



Assumes  $\sin^2 2\theta_{23} = 1$  i.e.  $\theta_{23} = \pi/4$ .

# LBL has a Rich Physics Program:

- sign  $\delta m_{32}^2$ :

Normal or Inverted Hierarchies:

- $\theta_{13}$  and  $\delta$ :

Typically more than one solution:

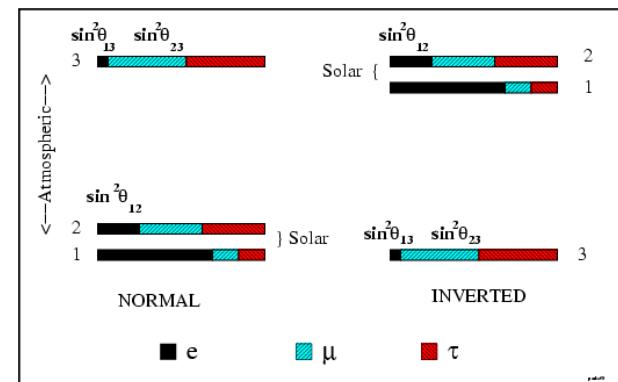
Factorization at Vac. Osc. Max.

- $\theta_{23} \leftrightarrow (\frac{\pi}{2} - \theta_{23})$ :

$\nu_\mu$  disappearance measures

$$\begin{aligned}\sin^2 2\theta_{23} &\equiv 1 - \epsilon^2 \quad \text{then} \\ \sin^2 \theta_{23} &= \frac{(1 \mp \epsilon)}{2} \quad \text{and} \quad \cos^2 \theta_{23} = \frac{(1 \pm \epsilon)}{2}\end{aligned}$$

$$\sin^2 2\theta_{23} = 0.96 \Rightarrow \sin^2 \theta_{23} = 0.4 \text{ or } 0.6$$



BNL proposal will fit spectrum to all parameters:

## Degeneracy Tasting:

sign  $\delta m_{32}^2$

At Vac. Osc. Max.,  $\Delta_{32} = \frac{\pi}{2}$

$$P_{mat} = \left(1 \pm 2\frac{E}{E_R}\right) P_{vac}$$

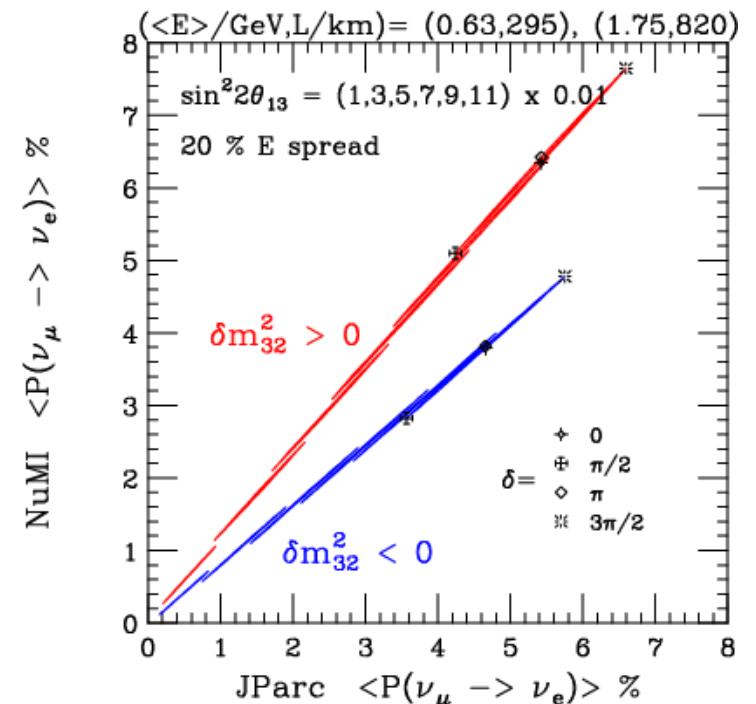
where  $E_R \simeq 12$  GeV.

Therefore, if NuMI and JParc both run Neutrinos at Vac. Osc. Max.

$$P_N = \left(1 \pm 2\frac{(E^N - E^J)}{E_R}\right) P_J$$

i.e.  $P_N \approx (1.2 \text{ or } 0.8)P_J$

Separation degraded for  $E^N > E_{vom}$ .



Minakata, Nunokawa and SP – hep-ph/0301210

## sign $\delta m_{32}^2$ conti.

For NuMI above Vac. Oscillation

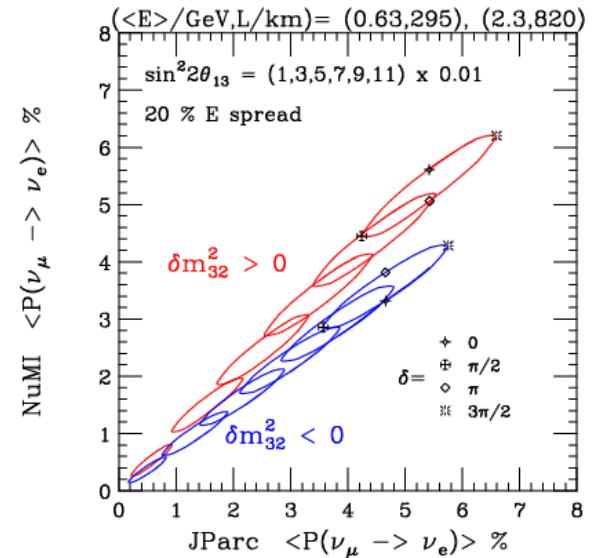
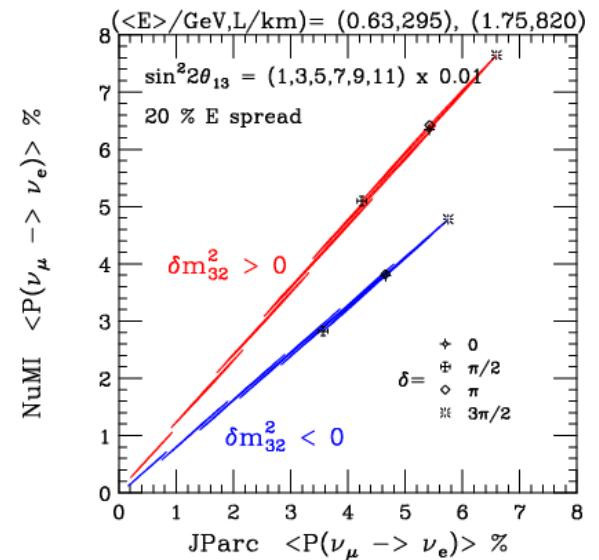
Maximum,  $E = E_{vom} + \Delta E$

$$P_{mat} = \left( 1 \pm 2 \frac{E_{vom}}{E_R} \mp \frac{(\pi^2 - 4)}{2} \frac{\Delta E}{E_R} \right) P_{vac}$$

where  $E_R \simeq 12$  GeV.

This extra factor  $\sim 3 \frac{\Delta E}{E_R}$  degrades the separation of the center of the ellipses.

Also the ellipses become **FAT**, compare bottom to top figures.

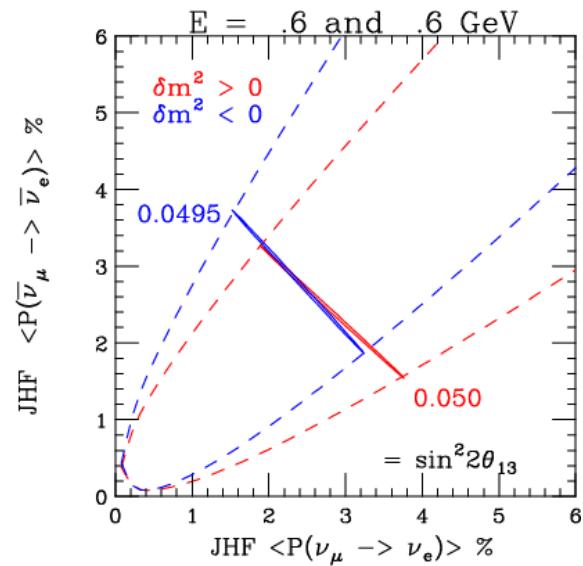
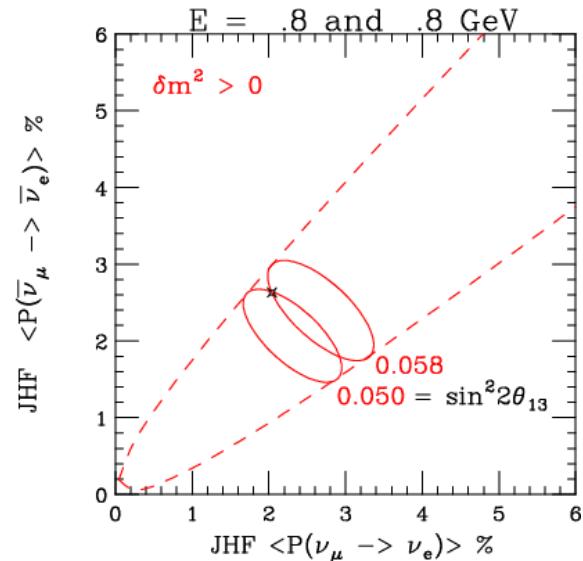


## $\theta_{13}$ and $\delta$

For a given Neutrino and Anti-Nu Measurement:

There are **two** solutions for  $\theta_{13}$  and  $\delta$ .

At Vac. Osc. Max.  
these factorize into a single measurement of  $\theta_{13}$  but two values of  $\delta$ . [ $\delta$  and  $\pi - \delta$ ]



Kajita, Minakata and Nunokawa – hep-ph/0112345

$$\theta_{23} \leftrightarrow \left(\frac{\pi}{2} - \theta_{23}\right)$$

$\theta_{23} = \frac{\pi}{4}$  is  $\nu_\mu \leftrightarrow \nu_\tau$  sym. pt.

$\sin^2 2\theta_{23}$  from dissapp. exp.

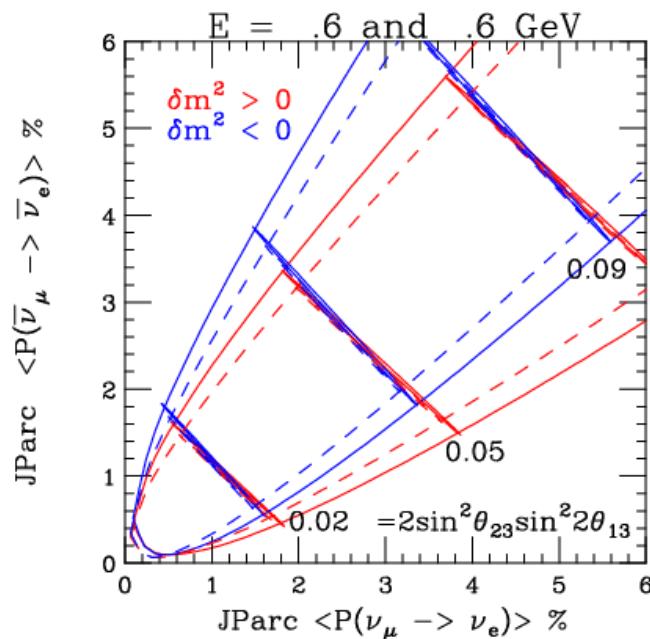
however even if  $\sin^2 2\theta_{23} = 0.96$ :

$\sin^2 \theta_{23} = 0.40$  or 0.6

LBL at Vac. Osc. Max. with  $\nu$  and  $\bar{\nu}$  measurements

$\sin \theta_{23} \sin \theta_{13}$  &  $\cos \theta_{23} \sin \delta$ .

Combined with a precision reactor measurement of  $\sin^2 \theta_{13}$  can determine  $\sin^2 \theta_{23}$ .



However determining  $\cos \delta$  requires running above Vac. Osc. Max. This splits  $\delta$  from  $\pi - \delta$ .

## Summary and Conclusion

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- $\theta_{13}$ :  
Can be measured by Reactor Exp., Long Baseline Exp. and eventually Nu Factories depending on its value.
- sign of  $\delta m_{23}^2$  or Normal/Inverted Hierarchy:  
Two Long Baseline Exp., one with significant matter effects, both running neutrinos at Vac. Osc. Max.
- $\sin \delta$  and CP violation: Leptogenesis  
Long Baseline Exp. running neutrinos and anti-neutrinos. Asymmetry gets larger as  $\theta_{13}$  gets smaller until solar amplitude dominates. For smaller values we need to enhance the atmospheric amplitude by significant matter effects - Nu Factory.

## Summary and Conclusion (cont.)

- $\theta_{23}$ :

Breaking the  $\theta_{23} \leftrightarrow \frac{\pi}{2} - \theta_{23}$  degeneracy.

Combination of Reactor and Long Baseline Exps.

- $\cos \delta$  (sign?)

LBL experiment running above Vac. Osc. Max.

If the size of  $\theta_{13}$  is in range of the LBL experiments,  
 $\sin^2 2\theta_{13} \geq 0.005$ , then three carefully chosen counting experiments  
with sufficient accuracy can determine

$$\theta_{13}, \quad \delta_{CP}, \quad \text{sign of } \delta m_{23}^2, \quad \theta_{23}.$$

A Fabulous Opportunity in the Neutrino Osc. Sector!!!

Leaving the Questions of Majorana v Dirac?, Steriles? and  
Absolute Mass Scale,  $M_{lite}$ ?